## JC02 Rec'd PCT/PTO 06 MAY 2005

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	ANSMITTAL LETTER TO	ATTTORNEY'S DOCKET NUMBER 0470-051409						
	DESIGNATED/ELECTED ICERNING A SUBMISSIC	U.S. APPLICATION NA. (1) kind (1) see 37 CFR 1.5)						
	TIONAL APPLICATION NO. L2003/000782	INTERNATIONAL FILING DATE 07.11.2003 (November 07, 2003)	PRIORITY DATE CLAIMED 08.11.2002 (November 08, 2002)					
TITLE OF INVENTION								
Synthesis of Estetrol via Estrone Derived Steroids  APPLICANT(S) FOR DO/EO/US								
Mark TI	heodoor VERHAAR, Thomas KOC	H and Erwin Gerardus Jacobus WARM						
Applican	t herewith submits to the United Sta	ates Designated/Elected Office (DO/EC	O/US) the following items and other information:					
1.	This is a FIRST submission of items co	oncerning a submission under 35 U.S.C. 371	l.					
2.	This is a SECOND or SUBSEQUENT s	submission of items concerning a submission	n under 35 U.S.C. 371.					
3.	This is an express request to begin nati (5), (6), (9) and (21) indicated below.	ional examination procedures (35 U.S.C. 37	1(f)). The submission must include items					
4.	The US has been elected (Article 31).							
`5. 🔽	A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))						
		d only if not communicated by the Internation	nal Bureau).					
	b. v has been communicated by the International Bureau.							
  -  -	c. is not required, as the application was filed in the United States Receiving Office (RO/US).							
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).							
	a. is attached hereto.							
	b. has been previously submitted under 35 U.S.C. 154(d)(4).							
7.	7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))							
		ired only if not communicated by the Internation	tional Bureau).					
	b.  have been communicated by the International Bureau.							
	c. have not been made; however, the time limit for making such amendments has NOT expired.							
	d. Have not been made and will not be made.							
	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  An English language translation of the annexes of the International Preliminary Examination Report under PCT							
An English language translation of the annexes of the International Preliminary Examination Report under PC1  Article 36 (35 U.S.C. 371(c)(5)).								
Items 11 to 20 below concern document(s) or information included:								
11.	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.						
12.	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
13.	A preliminary amendment.							
14.	An Application Data Sheet under 37 CFR 1.76.							
15	A substitute specification.							
16.	A power of attorney and/or change or	f address letter.						
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.							
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).							
19.	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).							
20	Other items or information: Notific	ation of Recording of a Change (PC	CT/IB/306) (2 pp.)					

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Page 1 of 2

# JC20 Rec'd PCT/PTO 0 6 MAY 2005

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

The following fless have been submitted  21.	107534079			INTERNATIONAL AP	INTERNATIONAL APPLICATION NO.		ATTORNET'S DOCKET NOWIBER		
21. Examination fee  12. Examination fee  13. 300.00  22. (2) Examination fee  14. (2) Examination fee  15. (2) Examination fee  16. (3) Examination fee  17. (4) Examination fee  18. (4) Examinati				PCT/NL2003/000782		0470-051409			
Search and content feet							LCULATIONS	PTO USE ONLY	
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)	21. Pasic national fee\$300						300.00		
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.  International Search Report prepared and provided to the Office	If International property PCT Article 33	eliminary examin (1)-(4)	\$	200.00					
Additional Re for specification and drawings filed in a paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets   Extra Sheets   Number of each additional 50 or fraction thereof.  Total Sheets   Extra Sheets   Number of each additional 50 or fraction thereof.  Total Sheets   Extra Sheets   Number of each additional 50 or fraction thereof.  Surcharge of \$130.00 for furnishing the each or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.495(e)).  CLAIMS   NUMBER FILED   NUMBER EXTRA   RATE   \$  Total claims   42   -20 =   22   x   \$50   \$ 1,100.00    Independent claims   9   -3 =   6   x   \$200   \$ 1,200.00    MULTIPLE DEPENDENT CLAIM(S) (if applicable)   + \$360   \$ 0    MULTIPLE DEPENDENT CLAIM(S) (if applicable)   + \$360   \$ 0    Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.  SUBTOTAL   \$ 3,330.00    Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  TOTAL NATIONAL FEE   \$ 3,330.00    Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   \$ 0    TOTAL FEES ENCLOSED   \$ 3,330.00    Anount to be charge of the exploration of the sheet is enclosed.  Limit Account No.   23-0650   A duplicate copy of this sheet is enclosed.  Limit Account No.   23-0650   A duplicate copy of this sheet is enclosed.  SEND ALL CORRESPONDENCE TO:  William H. Logsdon   NAME   William H. Logsdon   NAME   William H. Logsdon   NAME   William H. Logsdon   NAME   22,132	23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority						400.00		
Additional fee for specification and drawings filed in a paper over 100 sheets (excluding sequence listing or computer program listing filed in an electroction medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets		TOTAL OF 21. 2	2 and 23 =			\$	900.00		
thereof (round up to a whole number)  -100 =   150 e   150	Additional fee sequence lis	for specification ting or computer	and drawings filed program listing file	d in an electronic medium).	cluding				
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	Total Sheets	Extra Sheets			RATE		0		
CLAIMS NUMBER FILED NUMBER EXTRA RATE  Total claims 42 - 20 = 22 x \$ 50 \$ 1,100.00  Independent claims 9 - 3 = 6 x \$200 \$ 1,200.00  MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 \$ 0  MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 \$ 0  Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. 0  SUBTOTAL = \$3,330.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  TOTAL NATIONAL FEE = \$3,330.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  TOTAL FEES ENCLOSED = \$3,330.00  Amount to be refunded:  A check in the amount of \$ 3,330.00 to cover the above fees is enclosed.  D Please charge my Deposit Account No. in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.  The Commissioner is hereby suthorized to charge any additional fees which may be required, or credit any overpayment to Deposit the Account No. 23-0650 A duplicate copy of this sheet is enclosed.  The Commissioner is hereby suthorized to charge any additional fees which may be required, or credit any overpayment to Deposit the included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition (a rovive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the Intermetional Application to pending status.  SEND ALL CORRESPONDENCE TO:  William H. Logsdon  Webb Ziesenheim Logsdon Orkin & Hanson, P.C.  436 Seventh Avenue, 700 Koppers Building Pittsburgh, PA 15219-1818  Elephones (412) 471-8815  E22,132	- 100 =	/50 =			x \$250	\$			
Total claims	Surcharge of \$130 claimed priority da	<b>0.00</b> for furnishing ate (37 CFR 1.49	g the oath or decla 2(e)).	ration later than 30 months fro	om the earliest	\$	130.00		
Independent claims    Name	CLAIMS	NUME	ER FILED	NUMBER EXTRA	RATE	\$			
MULTIPLE DEPENDENT CLAIM(S) (if applicable)  TOTAL OF ABOVE CACULATIONS = \$ 3,330.00  Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.  SUBTOTAL = \$ 3,330.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  TOTAL NATIONAL FEE = \$ 3,330.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  TOTAL FEES ENCLOSED = \$ 3,330.00  Amount to be refunded: Amount to be charged is \$ 4 check in the amount of \$ 3,330.00  TOTAL PRESS ENCLOSED = \$ 3,330.00  Amount to be charged: TOTAL FEES ENCLOSED = \$ 1,330.00  TOTAL PRESS ENCLOSED = \$ 1,330.00  Amount to be charged: TOTAL FEES ENCLOSED = \$ 1,330.00  TOTAL PRESS ENCLOSED = \$ 1,330.00  Amount to be charged: TOTAL PRESS ENCLOSED = \$ 1,330.00  TOTAL PRESS ENCLOSED = \$ 1,330.00  Amount to be charged to a credit card information and authorization on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-209.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition/to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO:  William H. Logsdon  Webb Ziesenheim Logsdon Orkin & Hanson, P.C.  436 Seventh Avenue, 700 Koppers Building  Pittsburgh, PA 15219-1818  TOTAL PRESSENCE Aduption and authorization on PTO-2030.  NAME  William H. Logsdon  William H. Logsdon  NAME  William H. Logsdon	Total claims	4:	2 - 20 =	22	x \$ 50	\$	1,100.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)  TOTAL OF ABOVE CACULATIONS = \$ 3,330.00  Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.  SUBTOTAL = \$ 3,330.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  TOTAL NATIONAL FEE = \$ 3,330.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property	Independent claim	ıs	9 -3=	6	× \$200	\$	1,200.00		
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Amount to be refunded:  Amount to be charged:  Endaged:  Acheck in the amount of \$		Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied							
Amount to be refunded:  Amount to be charged:  Amount to be charged:  a. A check in the amount of \$ 3,330.00 to cover the above fees is enclosed.  b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.  c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit to Account No. 23-0650 A duplicate copy of this sheet is enclosed.  d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO:  William H. Logsdon  Webb Ziesenheim Logsdon Orkin & Hanson, P.C.  436 Seventh Avenue, 700 Koppers Building  Pittsburgh, PA 15219-1818  Telephone: (412) 471-8815				TOTAL FE	ES ENCLOSED =	\$	3.330.00		
A check in the amount of \$				· · · · · · · · · · · · · ·			ount to be	\$	
a. A check in the amount of \$ 3,330.00 to cover the above fees is enclosed.  b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.  c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No 23-0650						Am	ount to be	\$	
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.  c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit to Account No	a. A check	in the amount of	s 3,330.00	to cover the above	ve fees is enclosed.	1 0114	. <u>g.u.</u>	<u> </u>	
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 23-0650 . A duplicate copy of this sheet is enclosed.  d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO:  William H. Logsdon  Webb Ziesenheim Logsdon Orkin & Hanson, P.C.  436 Seventh Avenue, 700 Koppers Building  Pittsburgh, PA 15219-1818  Telephone: (412) 471-8815	b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.								
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William H. Logsdon Webb Ziesenheim Logsdon Orkin & Hanson, P.C. 436 Seventh Avenue, 700 Koppers Building Pittsburgh, PA 15219-1818 Telephone: (412) 471-8815  SIGNATURE William H. Logsdon NAME 22,132	NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition,t% revive (37 CFR 1.137(a) or (b)) must be filed								
William H. Logsdon Webb Ziesenheim Logsdon Orkin & Hanson, P.C. 436 Seventh Avenue, 700 Koppers Building Pittsburgh, PA 15219-1818 Telephone: (412) 471-8815  SIGNATURE William H. Logsdon NAME 22,132									
Webb Ziesenheim Logsdon Orkin & Hanson, P.C. 436 Seventh Avenue, 700 Koppers Building Pittsburgh, PA 15219-1818 Telephone: (412) 471-8815  William H. Logsdon NAME 22,132									
436 Seventh Avenue, 700 Koppers Building Pittsburgh, PA 15219-1818 Telephone: (412) 471-8815  Villiam H. Logsdon  NAME  22,132			Orkin & Hans	on, P.C.			agadan		
Telephone: (412) 471-8815 22,132	430 Seventi Avende, 700 Roppers Building						ogsdon		
			ION NI	ON NUMBER					

### 10/534079 JC20 Rec'd PCT/PTO 0 6 MAY 2005 Customer No. 28289

Application No. Not Yet Assigned

Paper Dated: May 6, 2005

In Reply to USPTO Correspondence of N/A

Attorney Docket No. 0470-051409

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

Not Yet Assigned

**Applicants** 

Mark Theodoor VERHAAR et al.

Filed

: Concurrently Herewith

Title

SYNTHESIS OF ESTETROL VIA ESTRONE DERIVED STEROIDS

International Application

No. PCT/NL2003/000782

International Filing Date

07 November 2003

Priority Date Claimed

08 November 2002

MAIL STOP PCT Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

#### **LETTER RECOGNIZING ATTORNEYS**

Sir:

Enclosed are appropriate papers for initiating the national phase of the aboveidentified PCT application, comprising a specification and claims. A Preliminary Amendment is also enclosed.

Please accept the application for purposes of granting a filing date and recognize William H. Logsdon, Barbara E. Johnson and Paul M. Reznick, Registration Nos. 22,132, 31,198 and 33,059, respectively, as attorneys in this application, pending the filing of a formal Declaration and Power of Attorney.

Kindly direct all communications relating to this application to William H. Logsdon.

Respectfully submitted,

WEBB ZIESENHEIM LOGSDON ORKIN & HANSON, P.C.

William H. Logsdon, Reg. No. 22,132

Attorney for Applicants 700 Koppers Building 436 Seventh Avenue

Pittsburgh, PA 15219-1818 Telephone: 412/471-8815 Facsimile: 412/471-4094

CO2 Rec'd PCT/PTO 0.6 MAY 2005 10/534079

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:	ATTORNEY'S DOCKET NUMBER						
Mark Theodoor VERHAAR, Thomas Koch	0470-051409						
and Erwin Gerardus Jacobus WARMERDAN  PCT/NL2003/000782							
"SYNTHESIS OF ESTETROL VIA ES	TRONE DERIVED STEROIDS"						

To MAIL STOP PCT
Attention: DO/EO/US
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

#### **EXPRESS MAIL CERTIFICATE**

"Express Mail" Label Number <u>EL971224139US</u>

Date of Deposit May 6, 2005

I hereby certify that the following attached papers or fees

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/
ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER
35 U.S.C. 371 (original and two (2) copies) and check in amount
of \$3,330.00 for filing fee and surcharge fee for late Declaration
LETTER RECOGNIZING ATTORNEYS
PRELIMINARY AMENDMENT
WO 2004/041839 – FRONT PAGE with ABSTRACT, SPECIFICATION,
CLAIMS and SEARCH REPORT (36 pp.)
NOTIFICATION OF RECORDING OF A CHANGE (PCT/IB/306) (2 pp.)

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450.

Nora Ann Pastrick
(Typed name of person mailing paper or fee)

Law Jakiek
(Signature of person mailing paper or fee)